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Indigenous Laws and Protection of First People

Legal Protection of Traditional Culture Expressions: A Case Study of the Kadazandusun Penampang Community

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Legal Protection of Traditional Cultural Expressions: A Case Study of the 
*Kadazandusun Penampang* Community*

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Discussions on the legal protection of traditional cultural expressions have taken place at international, regional and national levels and various approaches have been suggested as appropriate solutions to adequately protect traditional cultural expressions. For instance, the possibility of protecting traditional cultural expressions within the rubric of copyright was considered in the 1960s when, at the Stockholm Conference to revise the Berne Convention for the Protection of Literary and Artistic Works, developing countries pressed for provisions on the protection of their folklore. As a response to such calls, Article 15(4) was introduced into the Berne Convention to protect folklore by providing for copyright protection for unpublished works where the identity of the author was unknown but there was every ground to presume that he was a national of a country of the Berne Union. However, the appropriateness of protecting traditional cultural expressions through the intellectual property system has been doubted and queried by various quarters who argue that the nature and characteristics of traditional cultural expressions are inconsistent with the philosophy of the intellectual property system. In addition, those who challenge the appropriateness of the intellectual property system contend that traditional cultural expressions do not fit within the prerequisites for

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* This paper is based on an ongoing research which is currently being conducted entitled *Perlindungan Terhadap Folklor/Budaya Ekspressif Tradisional: Kajian Kes Kadazandusun, Sabah*. The other researchers in the team, apart from the writers of this paper, are Professor Dr Khaw Lake Tee, Associate Professor Dr Ramy Bulan (both from the Faculty of Law, University of Malaya) and Professor Mohd Anis Md Nor (from the Cultural Centre, University of Malaya). The research is financed under the Fundamental Research Grant Scheme of the Ministry of Higher Education, Malaysia.

1 Discussions on the international protection of folklore was first raised by the Government of Bolivia in 1973 when it sent a memorandum to the Director General of the United Nations Educational, Scientific and Cultural Organisation urging it to look into the possibility of having an international instrument on the protection of folklore.
protection under the different branches of intellectual property. Other suggestions for protecting traditional cultural expressions include extending the intellectual property rights regime, applying customary law, enacting legislation on the protection of cultural heritage, drafting various international agreements concerning the protection of human rights and developing a *sui generis* system which is tailored to respond to the needs and expectations of indigenous peoples and traditional communities.

Work on the international dimension of the protection of traditional cultural expressions has been largely carried out by the World Intellectual Property Organisation (WIPO) and the United Nations Educational, Scientific and Cultural Organisation (UNESCO). In 1998 and 1999, WIPO conducted nine fact-finding missions to 28 countries for the purpose of identifying the intellectual property needs and expectations of traditional knowledge holders. Following that, WIPO published a report of its findings. In September 2000, the Member States of WIPO established an Intergovernmental Committee on Intellectual Property and Genetic Resources, Traditional Knowledge and Folklore (IGC) to discuss intellectual property issues that arose in the context, *inter alia*, of expressions of folklore. The IGC had reviewed legal and policy options for the protection of traditional cultural expressions as well as analysed existing national and regional legal mechanisms and forms of protection available under the intellectual property system and other laws. Apart from WIPO, UNESCO has also conducted studies on the possibility of international protection of traditional cultural expressions since the 1970s. Working together with WIPO, UNESCO formulated the Model Provisions for National Laws on the Protection of Expressions of Folklore against Illicit Exploitation

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and Other Prejudicial Actions, which were adopted by both organisations in 1985.\(^5\) The Model Provisions were intended to encourage legal protection of traditional cultural expressions at national level.\(^6\)

Although discussions on the international protection of traditional cultural expressions have been ongoing and extensively pursued for close to four decades, little progress has been made in terms of international norm-setting or the creation of international instruments with binding effect. This scenario is in stark contrast with issues on piracy and counterfeiting of products originating from developed countries, which were also intensively debated in the late 1970s and 1980s. Piracy and counterfeiting issues were quickly dealt with in an internationally binding document concluded in 1994 in the form of the Agreement on Trade-Related Aspects of Intellectual Property Rights, including Trade in Counterfeit Goods. This Agreement was concluded in the framework of the prominent international body dealing with the rules of trade between nations, that is, the World Trade Organisation. Yet, the international dimension of the protection of traditional cultural expressions has yet to take shape and is unlikely to be part of WIPO’s legislative agenda in view of the political hostility between developing and developed countries.\(^7\) Indeed, WIPO has stated that the international dimension of the protection of traditional cultural expressions within the intellectual property regime is dictated by the operation of legal tools and mechanisms at the national level.\(^8\) In the light of this, the protection of traditional cultural expressions would best be addressed at national level, at least for the time being.

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\(^6\) Ibid.


The aim of this paper is to discuss the different laws which are currently available to protect the traditional cultural expressions of the *Kadazandusun Penampang* community and to evaluate the adequacy or otherwise of those laws in meeting the needs and expectations of that indigenous community. The *Kadazandusun Penampang* community is selected for this study because it is a fairly large indigenous community in East Malaysia and is rich in traditional culture, such as dance, songs, music, folklore and musical instruments. Its traditional cultural expressions are representative of the varied types of traditional cultural expressions that exist in other indigenous communities throughout the country, albeit the exact forms differ from one community to another. In discussing the current laws and their adequacy in addressing the protection of the *Kadazandusun Penampang* community’s traditional cultural expressions, this paper examines three pieces of legislation, namely, the National Heritage Act 2005, the Sabah Cultural Heritage (Conservation) Enactment 1997 and the Copyright Act 1987. Both the National Heritage Act 2005 and the Copyright Act 1987 are federal legislation while the Sabah Cultural Heritage (Conservation) Enactment 1997 is a legislation enacted by the Sabah legislature. As their names suggest, both the National Heritage Act 2005 and the Sabah Cultural Heritage (Conservation) Enactment 1997 are very specific legislation enacted with the aim of providing some form of legal protection to cultural heritage. On the other hand, the Copyright Act 1987 has a more secular purpose and the few provisions that currently exist in that statute which could be construed as affording some degree of protection to traditional cultural expressions were primarily inserted to meet the country’s obligations under international treaties.

In evaluating the adequacy of the abovementioned three statutes, this paper is laid out in the following manner. At the outset, the paper will identify two preliminary matters. These are the clarification of the scope of the term ‘traditional cultural expressions’ as used in this paper and the identification of the needs and expectations of the *Kadazandusun Penampang* community as expressed to the writers during some of the field studies. Thereafter, the paper is divided into two sections. Section A provides a brief description of some of the traditional cultural expressions that exist in the *Kadazandusun*
Penampang community. Section B discusses the three different statutes that offer some form of protection to traditional cultural expressions, namely, the National Heritage Act 2005, the Cultural Heritage (Conservation) Enactment 1997 of Sabah and the Copyright Act 1987. Section B will also assess the adequacy or otherwise of those statutes in meeting the needs and expectations of the Kadazandusun Penampang community.

Terminological concerns

Many learned writings by distinguished scholars have traced the historical debates that had taken place at the international fora to search for a suitable terminology to describe the creations of a cultural community. Suffice it to mention that in the initial years of the international discussions on protection of creations of cultural communities, the term ‘folklore’ was used. However, the term ‘folklore’ was later thought by some countries to be an archaism and bore negative connotations of associations with less civilized and undeveloped communities. In later years, the term ‘traditional cultural expressions’ was preferred as a more neutral and less offensive term. This paper will adopt the phrase ‘traditional cultural expressions’ as the terminology to describe the creations of a cultural community. It will also adopt the meaning assigned to that term in section 2 of the WIPO-UNESCO Model Provisions for National Laws on the Protection of Expressions of Folklore Against Illicit Exploitation and Other Prejudicial Actions, 1982. The section defines ‘traditional cultural expressions’ as:

...productions consisting of characteristic elements of the traditional artistic heritage developed and maintained by a community of [name of country] or by individuals reflecting the traditional artistic expectations of such a community, in particular:
(a) verbal expressions, such as folk tales, folk poetry and riddles, signs, symbols and indications;
(b) musical expressions, such as folk songs and instrumental music;

9 Section A of this paper is contributed by Dr Hanafi Hussin, Department of Southeast Asia Studies, University of Malaya. The remaining parts of this paper is contributed by Associate Professor Dr Tay Pek San, Faculty of Law, University of Malaya.
10 See, for instance, Gibson, J, Community and the Exhaustion of Culture, Creative Territories in Traditional Cultural Expressions in MacMillan, F & Bowrey, K (eds), New Directions in Copyright Law, Vol 3, supra n7.
11 Supra n4 at para 2.
(c) expressions by actions, such as folk dances, plays and artistic forms or rituals; whether reduced or not reduced to a material form; and
(d) tangible expressions, such as:
   (i) productions of folk art, in particular, drawings, paintings, carvings, sculptures, pottery, terracotta, mosaic, woodwork, metalware, jewelry, basket weaving, needlework, textiles, carpets, costumes;
   (ii) crafts;
   (iii) musical instruments;
   (iv) architectural forms.

Needs and expectations of the Kadazandusun Penampang community

For the Kadazandusun Penampang community, as is also the case with other indigenous communities, traditional cultural expressions are the manifestations of their cultural identity. These are passed down from one generation to the next not simply as a matter of practice or tradition but as a process to educate the next generation on the culture of the community so as to keep the culture alive and secure the maintenance of the community's collective identity. Thus, the legal protection of traditional cultural expressions should be undertaken not as an end in itself, but as a means of achieving the aspiration and expectations of indigenous people. Following interviews with the elders of the community and the community members, the needs and expectations of the Kadazandusun Penampang community may be divided into three broad categories. First, and possibly the most forceful expectation put forth by the community, is the wish that outsiders who perform or reproduce their traditional cultural expressions should properly acknowledge the community as the source of their works. While the community does not in principle object to outsiders performing or reproducing their works, the members are concerned that the failure to make acknowledgement will eventually result in the public being unable to form a mental link that such works originated from the

13 Interviews were held on 19 December 2007 with ritual spiritualists, dancers, musicians and some members of the Kadazandusun Penampang community. At the international level, WIPO's Intergovernmental Committee on Intellectual Property and Genetic Resources, Traditional Knowledge and Folklore have identified the policy objectives and core principles in the legal protection of traditional cultural expressions. On this, see WIPO, *The Protection of Traditional Cultural Expressions/Expressions of Folklore: Revised Objectives and Principle*, ibid.
community with the consequence that their traditional cultural expressions would be claimed to belong to all and sundry. Secondly, their traditional cultural expressions have intrinsic value which embody the community’s social, spiritual, moral and educational values. Accordingly, the community has expressed a desire that their traditional cultural expressions be respected. In particular, their ritual specialists, also known as Bobohizan, lead in spiritual matters and is the medium that connects the physical with the supernatural. Only the Bobohizan can perform specific rituals that have a high religious connotation and, therefore, any attempt by outsiders to perform such rituals would be very offensive to the community. Thirdly, there is a need to prevent the unauthorised exploitation, the misappropriation and distortion of their traditional cultural expressions albeit the known extent of such incidences is minimal. Such misappropriation could take the form of making and selling reproductions of crafts which are claimed to originate from the community when they are not. There have been complaints by community members that their well-known Sumazau dance has been performed by outsiders in a distorted manner because they did not, for instance, follow the requisite number of dancers or musical instruments of the Sumazau dance. Finally, the community realises that as a direct result of external influence, the younger generation of their community are not interested in their traditional cultural expressions but are more engrossed with the modern way of life. The elders therefore realize that there is a need to preserve and promote their traditional cultural expressions so as to prevent the erosion and disappearance of the tradition. The preservation of traditional cultural expressions helps to ensure that the culture continues to survive, grow and develop.

SECTION A

Folklore and traditional cultural expressions are closely knitted to the identity and lives of the Kadazandusun Penampang community. In particular, their performing arts, such as music and musical instruments, dances, folk tales, folk songs, folk poetry, rituals and handicrafts, are an embodiment of their culture that were inherited since generations back. The close link between their folklore and traditional cultural expressions on the one hand and their lifestyle on the other hand is evident from the fact that much of the former
derive from the tradition of padi planting. Until recently, padi planting is the primary occupation of the community members and they depend heavily on supernatural assistance in the success of their agricultural endeavours. Hence, many of their traditional cultural expressions, particularly music and dances have religious significance and connotations. The religious connotations of the sumazau dance, gandang and sompogogung is evident from the community’s belief that these serve as a conduit between humans and the spiritual world.

The Kadazandusun Penampang community is steep in rituals. Their rituals are conducted by ritual specialists and are sacred in nature. Traditionally, the community members are animistic in their religious beliefs and, therefore, their rituals are meant to communicate with spirits in the supernatural world. When rituals are conducted, various musical instruments, such as the gandang (also known in Bahasa Melayu as ‘gendang’) and sompogogungan (which is the six gongs that accompany the sumazau dance) are played at the same time as the sumazau dance is performed. Therefore, the traditional performing arts of the Kadazadusun Penampang community is very much related to the ritual. Music, folksongs and dance are popular forms of traditional cultural expressions of the Kadazandusun Penampang community.

(a) Music

The music repertoire in the Kadazandusun Penampang community may be divided into two categories, namely, ritual music and music for celebration. Ritual music is classified further into music with or without an accompaniment. The latter is characteristically more on the vocal aspect.

(i) Music for ritual

Under the category of ritual music is the sumazau music. This repertoire is played in some rituals such as rice farming ritual (monogit and humabot) and also death ritual, among others. Another music for ritual is called dunsai which is also the music played
during the burial. After the burial is done, the *sumazau* music is performed with the accompaniment of gongs which is called *sompogogungan*. *Sompogogungan* is also played in *monogit* ritual to accompany the female shaman, known as the *bobohizan*, in communicating with the spirits. This communication or *modsuut* with the spirits usually takes place from past midnight until dawn.

The process of invoking the spirits starts with the beating of the drum (*gandang*) in four processes of beating, namely, *pason*, *matang*, *tumingak*, and *savak*. The *gandang* is used together with the *sompogogungan* during the *modsuut*. The music being played during the *modsuut* is stronger. The *sompogogungan* is continuously played during the ritual towards the highlight which is feeding the spirits.

(ii) **Music for celebration**

Music for harvest celebration is traditionally a part of ritual. Nowadays, the harvest festival is more related to secular celebrations. The music used in this ritual is called *sumazau*, which is also the name for the dance accompanying it. This music is also during weddings and other functions such as ushering important guests, inauguration, *etc.*

(b) **Folksongs**

There are four types of folksongs: *sugandoi, suminding, magavau* and *hius*. *Sugandoi* is a head-hunting song celebrating the achievement of a warrior bringing home a head trophy. This is usually sung by male performers but is rarely performed nowadays.

*Suminding* is a melodic poem about a bad dream traditionally performed by the dreamer himself. This is done in order to ward off the bad dream from recurring. Nowadays,

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14 *Pason* is to announce the event to the spirit world.
15 *Matang* awakens the spirit of the drum by chanting *inait* and smashing rice grains with a metal called *sindavang* on the drum head.
16 *Tumingak* is to give power to the ritual instruments.
17 *Savak* is to call the other spirits.
**suminding** is not being performed by the dreamer; instead it can be performed by both genders, though the poem is still about a bad dream.

*Hitut* is a melodic poem as well, traditionally for entertainment during drinking session or any social gatherings. This is performed by both male and female.

*Magavau* is a melodic poem which is usually recited during the harvest season to call on the spirits to give thanks to the bountiful harvest. As *magavau* is being chanted, a group of male and female performers, usually about 10-15, rhythmically march around the house. The chant is called *inait magavau* or *hozou do magavau*. *Hozou do magavau* is divided into three parts: *magagandai* (happy), *mangambai* or *papa'ambai* (waving of hands) and *mogkodim* (to celebrate).

At present, these mentioned folksongs are not being performed according to tradition anymore. The present generation assumes a minimal role in the main function of the melodic poems. For example, *sugandoi* is performed in head-hunting celebrations. Nowadays, it is being performed during harvest festival, thus naming it *sugandoi kaamatan*.

(c) Dance

Dance or *Sumazau* in this community has two functions: in rituals and in celebrations.

(i) Dance in rituals

*Sumazau* is found in *monogit* and *humabot* rituals. The *Sumazau* in *monogit* functions as a form of communication and bridge between the spirit and human being. The process of the dance in the ritual starts with the first segment, *sumazau magampa*, wherein the *bobohizan* gets rid off a bad dream, bad luck, *etc*, collectively known as *moguzas nipi talaad*. In *sumazau magampa*, one of the *bobohizans* dances with the clattering of bamboo in the downward striking motion signaling the warding off of *moguzas nipi talaad*. The chief *bobohizan* or *bohongkitas* dances in the motion of collecting the
moguzas nipi talaad by swinging the chicken back and forth over the sick person covered by a blanket. The dance is accompanied by a chant. The second segment is the sumazau mundang wherein dance and chant are done simultaneously telling the spirit that the feast is prepared for the bobohizan themselves to be susceptible to the entry of the spirit (miontong). Once the miontong possesses the bobohizan, the feeding of the spirits starts. It is the miontong, the house guardian spirit, who feeds the summoned spirits in the form of dance. The miontong dances while serving the spirits. The actions are collectively called pasasazau do miontong. The dance has specific structure and each step in this ritual has its individual function and spiritual connotations.

The whole dance is composed of various movements. In sumazau magampa, the dance movements include momohipod, modsoladu, monokom do toluwa, mogihik do sakit, mogundosi, mintau, iduoh, muzas, monibas, and mongimuau. In pasasazau do miontong, there is also dance movement like mangambai, tumindak, sumihok, mimiliun, mongonggorn, and mongidai. Mangambai and tumindak are the basic movement for sumazau as well as the standard dance movement among the Kadazandusun community in Penampang, thus calling it sumazau penampang.

(ii) Dance in celebrations

Although traditionally, the sumazau dance is performed for sacred purposes, and when so performed is called kuminod, the dance is also performed during social functions and gatherings. Among the Kadazans, sumazau penampang is considered as a community dance in various celebrations such as village functions, festivals, wedding, occasions, among others. Dances of this type make use of various dance movements which are also used in the ritual dances. Whereas these dances are of ritual by nature, the community is still the beholder of the tradition. Among the younger generation, the dance is performed with choreography, modification, and adaptation by maintaining the basic rhythm and dance movement. Indeed, in its modern form the dance acquires a more social than religious relevance. The movements and choreography of the sumazau dance performed during social functions is adapted from the dance movements performed during religious
rituals. Today, the dance is widely known throughout the country and is particularly identified with the Kadazandusun community. The sumazau is not danced only by the Penampang community itself but also by the other communities in Sabah and Peninsula Malaysia representing Sabah identity.

With modernisation and social changes in the community, such beliefs have diminished in their religious significance. The younger members of the community view their traditional cultural expressions as backward but the reality remains that these are their roots and culture and therefore some form of legal protection of their traditional cultural expressions.

SECTION B

Current laws on the protection of traditional cultural heritage which are relevant to the Kadazandusun Penampang community

(a) The National Heritage Act 2005

The relevance of the National Heritage Act 2005\(^\text{18}\) in the protection of traditional cultural expressions is evident from the preamble of the Act. The purpose of the Act as stated in the preamble is to provide for the conservation and preservation of, \textit{inter alia}, National Heritage, natural heritage, tangible and intangible cultural heritage. Apart from the conservation and preservation of the subject matters that fall within the Act, the Act also extends to cover related matters pertaining to such subject matters. In his speech to the public to introduce the Act when it was enacted, the then Minister of Culture, Arts and Heritage, Datuk Seri Utama Dr Rais Yatim, explained that the scope of ‘related matters’ mentioned in the preamble was sufficiently wide to encompass the promotion, research and enforcement of the Act as well as the determination of the role of the state and

\[^{18}\text{Act 645.}\]
federal government on heritage matters. The Act came into force on 1 March 2006 and applied throughout Malaysia on that date.

Prior to the enactment of the Act, the laws relating to heritage were narrowly circumscribed in two federal statutes which have since been repealed, namely, the Antiquities Act 1976 and the Treasure Trove Act 1957. The Antiquities Act 1976 was concerned with matters pertaining to ancient and historical monuments, archaeological sites and remains, antiquities and historical objects. It did not provide for intangible heritage. Similarly, the Treasure Trove Act 1957 was concerned with tangible heritage in the form of money, coin, gold silver, plate, bullion jewellery, precious stones and the like which were found hidden in the soil or the bed of a river or sea. Heritage concerns under both these statues came within the purview of the respective state governments where the heritage was located. However, it was later felt that the issue of culture and heritage has been sidelined for too long as the nation strives to reach further heights in its development. In January 2005, the Ninth Schedule to the Federal Constitution was amended to include the preservation of heritage as one of the items in the Concurrent List so that the Federal Government would be able to play a more meaningful role in heritage preservation. Consequently, heritage concerns are now within the purview of both the Federal and State Governments.

The National Heritage Act 2005 is in a sense an all encompassing statute in that its provisions were drafted to apply to all categories of conceivable heritage, namely, tangible heritage, intangible heritage, natural heritage and underwater cultural heritage. The Act lays down a scheme of registration whereby intangible cultural heritage, among others, can be registered in the National Heritage Register as a heritage object. The establishment and maintenance of the National Heritage Register is provided under

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19 Speech of the Minister of Culture, Arts and Heritage, Datuk Seri Utama Dr Rais Yatim held on 30 May 2006 at Hotel Sheraton, Kuala Lumpur.
20 See PU(B) 53/2006.
21 Act 168.
22 Act 542.
23 Supra n19.
section 23(1). The Register, which is a public document, contains the list of heritage items registered under the Act.25

The maintenance of the Register is the responsibility of the Commissioner of Heritage.26 The Commissioner, whilst must be a body corporate, operates through an officer appointed by the Minister.27 The officer has the responsibility of carrying out the powers and functions assigned to him under sections 6 and 7 of the Act.

Part IV of the Act provides for the establishment of a National Heritage Council.28 The functions of the Council are two-fold, as spelt out in section 9(1). First, the Council has the function to advise the Minister and the Commissioner on all matters relating to heritage, and its administration as well as enforcement. Secondly, the Council has the function to advise the Minister and the Commissioner on any matter referred to it by the Minister to the Commissioner.

The Act also provides for the establishment of a Heritage Fund under section 20(1). The purposes for which this fund may be expended are targeted at conserving and preserving heritage items, objects and sites as well as promoting the public’s understanding of the protection of the country’s heritage. The financial assistance which this fund provides in the preservation and conservation of heritage items would serve as motivation and incentive for owners of heritage items to seek registration under the Act. Pursuant to section 21 of the Act, the fund may be expended for the following purposes:

(a) payment for the purchase of heritage and conservation areas
(b) payment of the expenses incurred for –
   (i) the conservation and preservation of any heritage and conservation areas whether they are owned by the Government or otherwise

25 National Heritage Act 2005, section 23(2) and (3).
26 Section 4(1).
27 Section 4(3).
28 Section 8.
(ii) organizing campaigns, research, study, publication of materials for the protection of heritage and conservation areas; and

(iii) the conservation and preservation of any heritage item and activities incidental to it;

(c) any disbursement of grant or loan under the Act

(d) any payment for the purpose of the Act

The Act does not impose a positive duty on anyone (be it the owner or otherwise) to apply to register a site or object as a national heritage. This is evident from the wording of section 50(1) which provides that ‘Any person may apply for an object to be registered as a heritage object’. Nevertheless, a number of provisions of the Act place an obligation on any person who discovers an object or site which could be of heritage significance to notify the Commissioner. For instance, section 47(1) of the Act requires any person who discovers any object which he has reason to believe has cultural significance, to notify the Commissioner, any authorised officer or the relevant District Officer. A similar obligation is imposed under section 61(1) and section 74(1) on any person who discovers an underwater cultural heritage in the Malaysian waters or any treasure trove respectively.

As mentioned earlier, section 50(1) of the Act provides for the making of an application to register an object as a heritage object under the Act. Contrary to the general understanding of an ‘object’ as a physical thing which can be perceived by the eye, the term ‘object’ in the Act encompasses a broader and non-physical dimension. Section 2 of the Act defines an ‘object’ as including ‘any moveable antiquity, tangible cultural heritage, intangible cultural heritage and historical object but excluding treasure trove’. In turn, ‘intangible cultural heritage’ is defined as ‘any form of expressions, languages, lingual utterances, sayings, musically produced tunes, notes, audible lyrics, songs, folk songs, oral traditions, poetry, music, dances as produced by the performing arts, theatrical plays audible compositions of sounds and music, martial arts, that may have existed or exist in relation to the heritage of Malaysia or any part of Malaysia or in relation to the heritage of a Malaysian community’. Thus, the definition of ‘object’ under the Act
encompasses both tangible and non-tangible heritage. Section 2 of the Act also provides a definition of the term ‘cultural heritage’, which appears to be superfluous in view of the fact that the terms ‘tangible cultural heritage’ and ‘intangible cultural heritage’ have already been defined in that section. Be that as it may, the section defines ‘cultural heritage’ as including ‘tangible or intangible form of cultural property, structure or artifact and may include a heritage matter, object, item, artifact, formation structure, performance, dance, song, music that is pertinent to the historical or contemporary way of life of Malaysians, on or in land or underwater cultural heritage of tangible form but excluding natural heritage’. Comparing the above definitions with the scope of traditional cultural expressions in the WIPO-UNESCO Model Provisions for National Laws on the Protection of Expressions of Folklore Against Illicit Exploitation and Other Prejudicial Actions, 1982, it is clear that the Act is sufficiently broad to encompass verbal expressions, musical expressions and expressions by actions. Indeed, the Sumazau dance is registered under the Act as a National Heritage.

The definition of ‘historical object’ in section 2 demonstrates the wide scope of the Act to encompass crafts and musical instruments, which are the tangible aspects of traditional cultural expressions. The definition of ‘historical object’ was adopted from the meaning assigned to that term in the now repealed Antiquities Act 1976. ‘Historical object’ is defined to mean any artifact or other object to which religious, traditional, artistic or historic interest is attached and includes any of the following:

(a) ethnographic material such as a household or agricultural implement, decorative article or personal ornament;

(b) work of art such as a carving, sculpture, painting, architecture, textile, musical instrument, weapon and any other handicraft;

(c) manuscript, coin, currency note, medal, badge, insignia, coat of arm, crest flag, arm or armour;

(d) vehicle, ship and boat, in part or in whole, whose production has ceased.
From this definition, it is clear that the Act also encompasses tangible expressions in the form of folk art, crafts and musical instruments. From the foregoing discussion, it may be surmised that the Act grants protection to all categories of traditional cultural expressions which are within the meaning of the WIPO-UNESCO Model Provisions. It is now pertinent to examine the extent of protection which this Act provides to traditional cultural expressions.

Scope of protection of traditional cultural expressions under the National Heritage Act 2005

In the light of the structure of the provisions in the National Heritage Act 2005, this paper will address the scope of protection of traditional cultural expressions under the Act by categorising such expressions into intangible cultural expressions (such as verbal expressions, musical expressions and expressions by actions) and moveable tangible cultural expressions (such as productions of folk art, crafts, musical instruments). In so far as intangible cultural expressions is concerned, the provisions of Part VIII of the Act entitled 'Heritage Object' are applicable. Pursuant to section 50, an application may be made by any person to register the traditional cultural expressions as a heritage object. The consequence of registering an intangible cultural heritage is provided in section 60(1). Section 60(1) places a duty on the owner or custodian of a heritage object in the form of an intangible cultural heritage to take all necessary steps to develop, identify and transmit such intangible cultural heritage. In addition, the owner or custodian is also required to take all necessary steps to cause the intangible cultural heritage to be performed. Furthermore, the owner or custodian is required to facilitate research on the intangible cultural heritage according to the guidelines and procedures prescribed by the Commissioner. An intangible cultural heritage listed in the Register may be declared by the Minister under section 67(1) of the Act or by any other person under section 68 to be a National Heritage. Section 67(5) provides that if copyright still subsists in such intangible cultural heritage, the consent of the copyright owner shall be obtained before any declaration is made. It ought to be noted that ownership of copyright in an intangible cultural expression is a concept which is altogether different from the issue of conservation and preservation of heritage. Both areas are unrelated. To require prior
consent from the copyright owner before declaring an intangible cultural heritage a National Heritage seems to suggest incorrectly that copyright ownership assumes precedence over the greater cause of conserving intangible cultural heritage.

With regard to moveable tangible cultural expressions in the form of productions of folk art, such as drawings, paintings, carvings, sculptures, pottery, crafts and musical instruments, Part VIII of the Act is again relevant because of the manner in which the term ‘object’ is defined, as discussed above. Except for sections 50 to 53 which deal with matters pertaining to registration of heritage object and section 60 which was discussed in the preceding paragraph on intangible cultural heritage, the rest of the provisions in Part VIII appear to be relevant only if the heritage was an object with physical form, whether discovered before or after the date of coming into operation of the Act, that could be delivered to governmental authorities²⁹ or inspected by them,³⁰ could be valued,³¹ be the subject matter of custody, possession and retention³² and sale or disposal.³³ Section 59(1) requires the owner or custodian of a heritage object to keep the heritage property in good condition and in a secure place. This is an onerous responsibility because section 59(3) provides that any person who fails to comply with this responsibility commits an offence under the Act. It would appear that the moveable tangible cultural objects which Part VIII contemplates refer to physical objects of cultural heritage significance which have been discovered either before or after the coming into operation of the Act. However, although the legal protection of heritage objects which are discovered is important, it is equally important to address the issue of how traditional cultural objects which are already known to exist in an indigenous community could obtain legal protection, such as how they may legally be preserved in their originally known form without any distortion and how they would remain attributed to that indigenous community. For instance, it is public knowledge that the musical instruments of the Kadazandusun community known as gandang and sompogogungan are used in their Saumazau dance and Monogit ritual. These are not ‘discovered objects’ within the scope of Part VIII but their originally

²⁹ National Heritage Act 2005, Section 47(1).
³⁰ Section 47(4).
³¹ Section 48(2).
³² Section 53.
³³ Section 56.
known form needs to be preserved against distortion, adulteration or modification. Yet, it does not appear that Part VIII or any other sections of the Act provides such form of protection.

(b) Sabah Cultural Heritage (Conservation) Enactment 1997 of Sabah

While the National Heritage Act 2005 is a federal statute, the state of Sabah has its own state legislation that deals with cultural heritage matters. The legislation, known as the Cultural Heritage (Conservation) Enactment 1997, was enacted to address issues of preservation, conservation and enhancement of cultural heritage and for matters incidental thereto. The preamble of the Enactment bears similar objectives as the National Heritage Act 2005. The Sabah Cultural Heritage (Conservation) Enactment 1997 came into force on 1 September 1999.

Section 5 of the Enactment provides that the jurisdiction to control, enforce, develop or conserve cultural heritage in Sabah is vested in the State Government. As the Ninth Schedule of the Federal Constitution has been amended to place heritage matters in the concurrent list of both Federal and State jurisdiction, it remains to be seen how conflicts between the wishes of the State Government and the Federal Government arising from the overlapping jurisdiction would be settled.

The administrative and administrative-related aspects of the Enactment resemble that of the National Heritage Act 2005 but the obligations, duties and responsibilities laid down by both statutes differ in certain respects. The Enactment provides for the establishment of the State Cultural Heritage Council, the setting up of a Cultural Heritage Fund and the establishment of a Register, in terms which are substantially similar to that of the National Heritage Act 2005. For instance, section 3 provides for the establishment of the State Cultural Heritage Council whose responsibility is to advise the State Government on matters of policy, administration and management of cultural heritage and conservation areas. In addition, section 6 provides for the setting up of a Register, which is a public document, in which all cultural heritage or conservation areas which have been declared to be subject to preservation or conservation shall be registered. Also,
section 14(1) provides for the establishment of the Cultural Heritage Fund. By section 14(4), the Fund shall be expended for the following purposes which are not altogether different from the Heritage Fund set up by the National Heritage Act 2005. The purposes of the Fund are as follows:

(a) maintenance, preservation, conservation and enhancement of any cultural heritage or conservation area

(b) acquiring any cultural heritage or conservation area of exceptional importance to the state

(c) carrying out any other projects or activities sponsored by the State Government such as the publication and exhibition of any cultural heritage or conservation area

(d) carrying out and organising campaign for the protection of cultural heritage or conservation area

(e) paying any cost or expense lawfully incurred by the Council or the Secretary for the enforcement or carrying out into effect the provisions of the Enactment

The Enactment applies to 'cultural heritage' which is defined in section 2 to include 'any antiquity, historical object, historical site, site area (whether on land or in the sea), fabric, building, structure, ethnographic matter, work of art, manuscript, coin, currency note, medal, badge, insignia, crest, flag, armour, vehicle, ship and tree, which has a significant and special architectural, aesthetic, historical, cultural, scientific, economic, environmental or any other interest or value'. The term 'historical object' is defined to mean 'any artifact or other object to which religious, traditional, artistic or historic interest is attached'. From these definitions, it would appear that the thrust of the Enactment is on tangible cultural heritage. Intangible cultural heritage does not appear to be within the scope of the Enactment. Section 15 provides that the owner or any person in possession of a cultural heritage may apply to the State Government for financial
assistance which is necessary for the maintenance, preservation, conservation and enhancement of the heritage.\textsuperscript{34}

Unlike the National Heritage Act 2005 which places obligations and, at times, seemingly burdensome responsibilities on owners or those in possession of cultural heritage,\textsuperscript{35} the Enactment casts many obligations on the Yang di-Pertua Negeri or Council. By section 4(1) of the Enactment, the Yang di-Pertua Negeri may, on the recommendation of the Council, declare any heritage as cultural heritage. Section 4(2), which is worded in a permissive manner, provides that any person in possession of any cultural heritage may apply to the Council for a section 4(1) declaration. There is no provision in the Enactment which makes it mandatory for those who discover objects of cultural heritage significance to notify the relevant authority, unlike the position in the National Heritage Act 2005. Interestingly, section 10 of the Enactment casts the responsibility on the Council to purchase certain types of buildings of a cultural nature. The section provides that owners of certain types of buildings that are cultural heritage may serve on the Council a purchase notice requiring their buildings to be purchased by the Council in accordance with the Enactment. This added responsibility that is cast on the Council is absent in the National Heritage Act 2005.

(e) Copyright Act 1987

There have been ongoing debates on whether the intellectual property system is an appropriate model to protect traditional cultural expressions. Of the different branches of intellectual property rights, copyright law has been widely discussed more than other forms of intellectual property rights, as an apt regime to assimilate traditional cultural

\textsuperscript{34} Sabah also has a state enactment known as the State Heritage Trust Fund Enactment 1996 which sets up the State Heritage Trust Fund. Monies from the Fund are to be invested and proceeds from the investment may be applied for a number of purposes including purposes specified in the Sabah Development Fund Ordinance 1963 and such other purposes specified in the resolution of the State Legislative Assembly granting the approval.

\textsuperscript{35} For instance, section 60 of the National Heritage Act 2005 makes it mandatory for the owner or custodian of traditional cultural heritage to take all necessary steps to develop, identify, transmit, cause to be performed and facilitate the research on the intangible cultural heritage according to prescribed guidelines and procedures. Another instance is section 47(1) of the Act which places an obligation on the person who discovers any object believed to be of cultural heritage significance to deliver the object to the Commissioner of Heritage.
expressions within the intellectual property system.\textsuperscript{36} The primary reason for this lies in the fact that traditional cultural expressions are almost always literary or artistic expressions. These are the same form as the subject matters of copyright law and it is almost impossible to think of any type of traditional cultural expression that does not fall within the categories of works or neighbouring rights of copyright law. However, attempts to incorporate traditional cultural expressions within the framework of copyright is questionable and fraught with difficulties, both from the conceptual point of view as to the underlying purpose of copyright law and also from the substantive point of view as to the requirements for copyright protection. The purposes of copyright law are to promote the progress of the arts and science for the benefit of the public and to reward creators of works so as to encourage further creativity.\textsuperscript{37} In rewarding creators, the law confers on them proprietary right over their works for a limited duration during which time the creators may exercise a number of exclusive rights in relation to their works. However, the Western philosophy of property is alien to the indigenous systems. The understanding of property in the Western world presupposes the existence of exclusive rights in the sense that others are excluded from exercising the rights, apart from the owner himself. In indigenous societies, the concept of ownership does not exist and the trustees of the traditional cultural expressions are subject to various responsibilities and duties which are essentially aimed at benefiting the community.

The suitability of protecting traditional cultural expressions under copyright law has been considered by dividing traditional cultural expressions into two categories. The first category refers to expressions that are contemporary because they are made by the current generation of society and are based on tradition. The second category refers to pre-existing traditional cultural expressions. In so far as the first category is concerned, it is generally accepted that copyright protection is available for tangible, contemporary, tradition-based cultural expressions because these cultural expressions satisfy the

\textsuperscript{36} Other types of intellectual property have also been discussed as possible forms of protecting traditional cultural expressions, but their applicability appears to be narrower than copyright law. For instance, geographical indications law, trade mark law and industrial designs law have been considered as possible option. On this, see supra n4.

substantive requirements for copyright protection.\textsuperscript{38} More specifically, these meet the basic copyright requirements of originality, authorship and fixation. For intangible contemporary traditional cultural expressions, it is also generally agreed that copyright does not apply in countries which mandate that the work has to be reduced to a material form as a prerequisite for copyright protection. The obstacle does not arise in countries that do not require fixation in some material form as a condition for protection, as in Spain, France, Germany and other civil law countries in Latin America.

For the second category, namely, pre-existing traditional cultural expressions, it is highly doubtful that these can be assimilated within the copyright model. The arguments put forward against protecting pre-existing traditional cultural expressions within the copyright system are well-known.\textsuperscript{39} The arguments essentially centre around issues of originality, fixation, authorship and duration of copyright.

(i) The Originality Requirement

For a work to be protected by copyright, it must be original in the sense that the work originates from the author.\textsuperscript{40} The work must be the author’s own intellectual creation and not copied from another source.\textsuperscript{41} Under section 7(3) of the Copyright Act 1987, originality entails that sufficient effort must have been expended to make the work original in character. Pre-existing traditional cultural expressions almost invariably are transmitted from generation to generation and evolve as well as develop over a long period of time. Unlike contemporary, tradition-based cultural expressions which can be regarded as original works because something original has been added, pre-existing traditional cultural expressions by their nature lack originality.

(ii) The fixation requirement

The copyright law of Malaysia as well as that of other common law countries requires that the work must be written down, recorded or otherwise reduced to material form to be

\textsuperscript{38} Supra n4 at paras 137-138.
\textsuperscript{39} Ibid at paras 102-136, Lucas-Schloetter, A, \textit{Folklore}, supra n5 at 291-198.
\textsuperscript{40} \textit{University of London Press Ltd v University Tutorial Press Ltd} [1916] 2 Ch 601.
\textsuperscript{41} Ibid.
eligible for copyright. Pre-existing traditional cultural expressions, being orally transmitted from generation to generation and evolved over time, are not fixed in any material form. Their dances, songs, folktales, poetry etc are performed without being fixed in any material form.

(iii) The author requirement

Although copyright is conferred on the copyright owner and not the author as creator of the work, the concept of authorship is nonetheless very important in copyright law. This is because, generally, the author is the first owner of the copyright although he may subsequently transfer his ownership to another. Hence, the identification of the author is important. For pre-existing traditional cultural expressions, which originated from the supernatural realm or whose author, if there is one, had died centuries back, the identifiable author requirement is impossible to satisfy. With regard to unidentified authors, section 26(4)(c) of the Copyright Act 1987 provides that where a work is unpublished and the identity of the author is unknown, but there is every reason to presume that he is a citizen of Malaysia, the copyright conferred shall be deemed to vest in the Minister in charge with the responsibility for culture. In the context of pre-existing traditional expressions where it is widely accepted and not challenged that certain traditional cultural expressions belong to a specific indigenous community, it is ironical that copyright protection, if conferred on the traditional cultural expressions, would vest in the Minister charged with responsibility for culture instead of a trustee from the indigenous community itself. Apart from that, the identifiable author requirement in the copyright system may not have its counterpart in the indigenous community system because there perhaps has never been any human author in the first place. Also, section 26(4) of the Copyright Act 1987 which provides for the situation of anonymous work does not apply in the context of traditional cultural expressions because such expressions do not have authors in the copyright sense if they were derived from the supernatural or, if there was an author.

42 Copyright Act 1987, section 7(3)(b).
(iv) Limited term of protection

In many countries, the term of protection for copyright works is 50 years after the death of the author or 70 years in some countries. This limited term of protection is the means adopted by the law to balance the dual and conflicting purposes of copyright law. During the term of protection, the copyright owner has the exclusive rights to exploit his work and reap the economic benefits of his creative labour. The interest of the public to have access to the work is somewhat met by providing that the work falls into the public domain after the expiry of the term. Many traditional cultural expressions, by their nature, exist since time immemorial. Obviously by subjecting such traditional cultural expressions to the Western philosophy of copyright protection and conferring legal protection for a limited term of time, is paternalistic and condescending in nature.

From the above discussion, it is evident that there are strong arguments against the suitability of the copyright system in protecting traditional cultural expressions. However, it should be mentioned that two separate aspects of the Copyright Act 1987 are, in principle, suitable matters for protecting traditional cultural expressions. The first is the notion of moral rights. The second is the protection conferred on performers of live performances.

Moral rights, which are concerned with the preservation of the author's integrity and reputation, is a dimension of copyright law which resemble the expressed desire of indigenous people that outsiders who use their traditional cultural expressions make appropriate acknowledgements as to the source in which the outsiders’ works originate. Pursuant to section 25(2) of the Copyright Act 1987, there are two types of moral rights which exist during the subsistence of copyright in the work. The first type of moral right is the right to prevent anyone from presenting a work without identifying the author. The second type of moral right is the right to prevent anyone from distorting, mutilating or modifying the work if this significantly alters the work and might reasonably be regarded as adversely affecting the author's honour or reputation. Comparing these moral rights with the concerns and expectations of the indigenous people, it may be said that this
dimension of copyright, though not given much importance in the common law world, is extremely significant to the indigenous community.

Performers’ rights were introduced in the year 2000 in response to Malaysia’s international obligations under the Agreement on Trade-Related Aspects of Intellectual Property Rights. Section 16A(1) of the Copyright Act 1987 gives the performer the exclusive right to control in Malaysia the following rights:

(a) the communication to the public of a live performance
(b) the fixation of an unfixed performance
(c) the reproduction of the fixation of a live performance in certain circumstances
(d) the first making available to the public of a fixation of a live performance through any form of transfer of ownership
(e) rental to the public of a fixation of a live performance or copies thereof

The definition of a ‘live performance’ is given an inclusionary meaning in section 3 of the Act and includes, *inter alia*, ‘a performance in relation to expressions of folklore’. Performances of other forms of traditional cultural expressions, such as dances and musical works, are entitled to performers’ rights. However, the performers’ rights are given to the performers regardless of whether or not they are from the indigenous

**Assessment of the laws on the protection of the traditional cultural expressions of the Kadazandusun Penampang community**

In assessing the adequacy or otherwise of the legal protection currently afforded to the traditional cultural expressions of the Kadazandusun Penampang community, it is important to bear in mind the expressed needs and expectations of the community. The needs and expectations of the community were identified at the outset of this paper.

The efforts of the government in enacting the National Heritage Act 2005, which covers wide-ranging matters pertaining to the conservation and preservation of heritage, is a laudable move on the part of the government. To a large extent, the National Heritage
Act 2005 reflects one of the expressed needs of the Kadazandusun Penampang community, namely, the preservation and promotion of their traditional cultural expressions so as to ensure that these are kept alive and in ongoing development. However, a number of observations may be made about some provisions of the National Heritage Act 2005 which may not be advantageous to the Kadazandusun Penampang community and, in fact, may hamper the preservation and promotion of their traditional cultural expressions. One of the consequences of registering an intangible cultural heritage under the Act is provided in section 60(1). Section 60(1) places a highly onerous burden on the owner or custodian of the intangible cultural heritage to ensure conservation of the intangible cultural heritage. The section is worded such as to make it mandatory for the owner or custodian to ‘take all necessary steps’ to conserve the intangible cultural heritage. This in itself would arguably serve as a deterrent for the owner or custodian to register the traditional cultural expression as a heritage. In addition, the Kadazandusun Penampang community, as is also the case with other indigenous communities, usually comprises community members whose income is merely sufficient to meet their daily needs and that of their families. Assuming that the custodian of the heritage is unable to obtain funding from the Heritage Fund or other sources to carry out the purposes expressed in section 60(1), the custodian is technically in breach of that section if he fails to carry out the activities mentioned therein. Accordingly, section 60(1) can be unduly burdensome on the custodian, who in the first place may have barely enough earnings to meet his daily needs and that of his family. Apart from that, the section presupposes that there is an owner or custodian of the traditional cultural expression. That may not be the case in all situations because some traditional cultural expressions of the Kadazandusun Penampang community do not have any custodian or trustee. For instance, there is no custodian of their musical instruments and the Sumazau dance.

The notion of conserving an intangible cultural heritage should also entail prohibition of acts that would distort, mutilate or modify the cultural expression. While it cannot be denied that traditional cultural expressions are evolving living traditions, acts of distortion or mutilation would damage the purity of the originally known form of the
intangible cultural heritage. Additionally, acts amounting to the unauthorised commercial exploitation of the traditional cultural expressions should also be sanctioned. The National Heritage Act 2005 is silent on this point.

In relation to tangible cultural expressions, the National Heritage Act 2005 also places onerous responsibility on the owner or custodian of a heritage object to keep the heritage property in good condition and in a secure place, as required by section 59(1). By providing that the failure to comply with this responsibility amounts to an offence, and therefore placing a criminal dimension to such failure, section 59(3) has added an additional burden on the owner or custodian of heritage object.

The Act also does not appear to address the issue of how existing known forms of tangible cultural heritage, such as musical instruments and handicrafts, may be legally protected so that outsiders so not reproduce such tangible cultural heritage in a manner that distorts their original form. Equally lacking in the National Heritage Act 2005 are provisions to ensure that such tangible cultural expressions remain attributed to the original indigenous community even if outsiders have adapted or modified them.

The Cultural Heritage (Conservation) Enactment 1997, like the National Heritage Act 2005, is also concerned with the preservation, conservation and enhancement of cultural heritage. It is narrower in scope than the National Heritage Act 2005 because of its fairly restrictive definition of 'cultural heritage' which does not extend to intangible cultural expressions. The Enactment also does not contain any provision requiring outsiders who perform or reproduce the traditional cultural expressions to properly acknowledge the community as the source. Neither does the Enactment contain any provision that prevents unauthorised commercial exploitation, misappropriation or distortion of traditional cultural expressions.

With regard to the copyright regime, issues of fixation, originality, authorship and limited duration of protection pose difficulties when applied to traditional cultural expressions. The copyright regime, which essentially is an economic-motivated system, runs counter to the very existence of traditional cultural expressions. Traditional cultural expressions
reflect the culture of the indigenous community and do not exist for economic-driven purposes. Although the concept of moral rights in the copyright regime seems to meet one of the expressed needs and expectations of the community, such rights presuppose that copyright subsists in that work. Moral rights only subsist during the subsistence of the copyright. However, as mentioned earlier, the technical difficulties of a copyright regime may mean that traditional cultural expressions do not qualify for copyright protection.

Conclusion

From the above discussion and in the light of the expressed needs as well as expectations of the Kadazandusun Penampang community, it may be concluded that the current regime of legal protection of traditional cultural expressions of the community is inadequate. The National Heritage Act 2005 and the Cultural Heritage (Conservation) Enactment 1997 with their focus on issues of conservation and preservation merely purport to provide protection of one out of several expressed needs of the community. The copyright regime is inappropriate for the protection of traditional cultural expressions for reasons which have been discussed earlier. In view of the slow pace in which developments are taking place in the international fora and WIPO’s view that ‘the operation of legal tools and mechanism at the national level’ would dictate international developments, it would appear appropriate to consider whether additional measures or systems of protection are necessary or appropriate to complement the current statutory regime. Adopting the expressed needs and expectations of the Kadazandusun Penampang community as guidelines which reflect the position of the other indigenous community in this country, it would appear necessary to enact laws to address minimally such expressed needs and expectations.